Effect systems revisited – control-flow algebra and semantics (slides)

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for our example we get { G3, F3, A3, B3, C4, D4, E4, G4}

Higher-Order Concurrent Programs with Finite Communication Topology

(Extended Abstract)

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Abstract

Concurrent ML (CML) is an extension of the functional language Standard ML (SML) with primitives for the dynamic creation of processes and channels and for the communication of values over channels. Because of the powerful abstraction mechanisms the communication topology of a given program may be very complex and therefore an efficient implementation may be facilitated by knowledge of the topology.

This paper presents an analysis for determining when a bounded number of processes and channels will be generated. The analysis proceeds in two stages. First we extend a polymorphic type system for SML to deduce not only the type of CML programs but also their communication behaviour expressed as terms in a new process algebra. Next we develon an analysis that eiven

1 Introduction

Higher-order concurrent languages as CML [8] and Facile [2] offer mechanisms for the dynamic creation of channels and processes in addition to the possibility of sending and receiving values over channels. To obtain an efficient implementation of programs in such languages we would need information about their communication topology:

- Does the program only spawn a finite number of processes?
- Does the program only create a finite number of channels?

If the answer to the first question is yes we may load the processes on the available processors and dispense with

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Branching control-flow (from lists to trees)

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(FORK)
$$\frac{\Gamma \vdash e : \mathsf{unit} \xrightarrow{\Phi_0} \tau, \epsilon}{\Gamma \vdash \mathsf{fork} \, e : \tau, \, \mathsf{FORK} \, \Phi_0}$$

Type and Effect Systems

Flemming Nielson and Hanne Riis Nielson

Department of Computer Science, Aarhus University, Denmark.

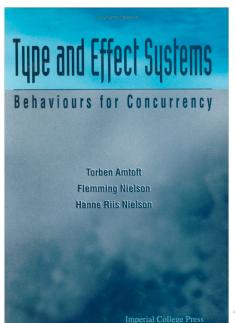
Abstract. The design and implementation of a correct system can benefit from employing static techniques for ensuring that the dynamic behaviour satisfies the specification. Many programming languages incorporate types for ensuring that certain operations are only applied to data of the appropriate form. A natural extension of type checking techniques is to enrich the types with annotations and effects that further describe intensional aspects of the dynamic behaviour.

Keywords. Polymorphic type systems, effect annotations, subeffecting and subtyping, semantic correctness, type inference algorithms, syntactic soundness and completeness. Analyses for control flow, binding times, side effects, region structure, and communication structure.

1 Introduction

Static analysis of programs comprises a broad collection of techniques for predicting safe and computable approximations to the set of values or behaviours arising dynamically during computation; this may be used to validate program transformations, to generate more efficient code or to increase the understanding





(Ordered) Semiring of effects $(\mathcal{F}, \bullet, 1, +, \sqsubseteq)$

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- for subeffecting
- ... with fixed-point recβ.Φ
- ... subeffecting axioms provide semiring equations, e.g.

$$(\Phi_1 + \Phi_2); \Phi_3 \sqsubseteq (\Phi_1; \Phi_3) + (\Phi_1; \Phi_2)$$

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$$\Phi = \mathsf{C}, \mathsf{D}, \mathsf{E}, \dots, \mathsf{rest} \mid \Phi_1 + \Phi_2 \mid \Phi_1 \bullet \Phi_2 \mid \Phi^*$$

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▶ or, **timing** via $(\mathbb{R}^+, +, 0, \max, \leq)$ with $\Gamma \vdash \text{play}(N, L)$: void, L gives 13 seconds

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- develop a program analysis in the form of a type and effect system ...
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from "Type and effect systems" (Nielson, Nielson, 1999)

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- ► An effect-directed semantics unifies the first three steps
- We develop an effect-directed semantics for rich Nielson-Nielson-style effects

untyped model: [e]: D

$$D \cong \mathbb{Z} + (D \to D) + \{wrong\}$$

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simply typed model:
$$\llbracket \Gamma \vdash e : \tau \rrbracket : D_{\tau}$$

$$D_{\sigma o au} = D_{\sigma} o D_{ au}$$

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Core idea: algebra-semantics homomorphism

Algebraic structure of $\mathcal F$ determines structure on family $D_{\tau}^{\mathcal F}$.

Effect analysis and semantics

$$\begin{array}{c} \textit{Lattice effects} \\ (\mathsf{Gifford, Lucassen '86}) & \Longrightarrow & \textit{Richer structure on } \mathcal{F} \\ \Gamma \vdash e : \tau, \mathcal{F} & & \\ & & \\ \text{``Marriage of effects and monads''} \\ (\mathsf{Wadler, Thiemann '03}) \\ \llbracket \Gamma \vdash e : M^{\mathcal{F}}\tau \rrbracket : \llbracket \Gamma \rrbracket \to \mathsf{T} \llbracket \tau \rrbracket \\ & & \\ \llbracket \Gamma \vdash e : M^{\mathcal{F}}\tau \rrbracket : \llbracket \Gamma \rrbracket \to \mathsf{T}_{\mathcal{F}} \llbracket \tau \rrbracket \end{array} \end{array} \qquad \begin{array}{c} \textit{Richer structure on } \mathcal{F} \\ (\mathsf{Nielson, Nielson '94, '99)} \\ & & \\ \blacksquare \text{``Marriage of effects and monads''} \\ (\mathsf{Wadler, Thiemann '03}) \\ & & \\ \blacksquare \text{``Effect-directed semantics} \\ (\mathsf{Katsumata '14}) \\ & & \\ \blacksquare \Gamma \vdash e : M^{\mathcal{F}}\tau \rrbracket : \llbracket \Gamma \rrbracket \to \mathsf{T}_{\mathcal{F}} \llbracket \tau \rrbracket \end{array} \qquad \begin{array}{c} \mathsf{This paper} \\ \mathsf{Richer effect-directed} \\ \mathsf{semantics} \\ \mathsf{(graded monads)} \\ \mathsf{(graded joinads)} \end{array}$$

Effect analysis and semantics

Operations on $T_{\mathcal{F}}$ homomorphic to operations on \mathcal{F}

$$\llbracket \Gamma \vdash e : \tau, \digamma \rrbracket : \llbracket \Gamma \rrbracket \to \mathsf{T}_{\digamma} \llbracket \tau \rrbracket$$

e.g. for state $TA = S \rightarrow (A \times S)$.

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$$\llbracket \Gamma \vdash e : \tau, \digamma \rrbracket : \llbracket \Gamma \rrbracket \to \mathsf{T}_{\digamma} \llbracket \tau \rrbracket$$
 e.g. for state $\mathsf{T}_{\digamma} A = (\mathit{reads}(\digamma)) \to (A \times \mathit{writes}(\digamma))$. e.g. for partiality $\mathsf{T} A = \bot + A$

- e.g. for state $T_{\digamma}A = (reads(\digamma)) \rightarrow (A \times writes(\digamma))$.
- e.g. for partiality $T_{\perp}A = \bot$, $T_{\top}A = A$,

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Graded monads provide sequential composition

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Graded monads provide sequential composition

Let $(\mathcal{F}, \bullet, 0)$ be an effect monoid.

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Let $(\mathcal{F}, \bullet, 0)$ be an effect monoid. Given $d_1: A \to \mathsf{T}_{\mathcal{F}} B$ and $d_2: B \to \mathsf{T}_{\mathcal{G}} C$

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Given $d_1:A\to \mathsf{T}_{\textit{\textbf{F}}}B$ and $d_2:B\to \mathsf{T}_{\textit{\textbf{G}}}C$

then $d_2 \circ d_1 : A \to T_{F \bullet G} C$

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With ordering

Given partially ordered $(\mathcal{F}, \bullet, 0, \sqsubseteq)$ then for all $F \sqsubseteq G$ then coercion: $\iota_{F,G,A} : \mathsf{T}_F A \to \mathsf{T}_G A$

 $\llbracket \mathsf{if}\, e_0\, \mathsf{then}\, e_1\, \mathsf{else}\, e_2 \rrbracket = \mathsf{COND}(\llbracket e_0 \rrbracket, \llbracket e_1 \rrbracket, \llbracket e_2 \rrbracket)$

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$$\text{cond} : \mathbb{B} \times \mathsf{T}_{G \sqcup H} A \times \mathsf{T}_{G \sqcup H} A \to \mathsf{T}_{G \sqcup H} A$$

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cond :
$$\mathbb{B} \times \mathsf{T}_{G \sqcup H} A \times \mathsf{T}_{G \sqcup H} A \to \mathsf{T}_{G \sqcup H} A$$

► Towards Nielson-Nielson richer effects, but + may not be □.

$$\llbracket \mathsf{if}\, e_0\, \mathsf{then}\, e_1\, \mathsf{else}\, e_2 \rrbracket = \mathsf{COND}(\llbracket e_0 \rrbracket, \llbracket e_1 \rrbracket, \llbracket e_2 \rrbracket)$$

- ► COND definable via cond : $\mathbb{B} \times A \times A \rightarrow A$ cond(true, x, y) = x cond(false, x, y) = y
- Restricts effect branching behaviour:

(IF)
$$\frac{\Gamma \vdash e_0 : \mathsf{bool}, \digamma}{\Gamma \vdash \mathsf{if} \ e_0 \ \mathsf{then} \ e_1 \ \mathsf{else} \ e_2 : \tau, \digamma \bullet (G \sqcup H)}$$

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- ► Towards Nielson-Nielson richer effects, but + may not be □.
- Instead: parameterise semantics on COND: T_FB × T_GA × T_HA → T_{?+(F,G,H)}A

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▶ all operations are monotonic with respect to <u></u>.

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$$\mathsf{cond}_{F,G,H,A} : \mathsf{T}_F \mathbb{B} \times \mathsf{T}_G A \times \mathsf{T}_H A \to \mathsf{T}_{?+(F,G,H)} A$$
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► Satisfy jonoid axioms (modulo lifting to functors) where ?+ = cond and & = par

Monoids are to (graded) monads as jonoids are to (graded) joinads

Given a graded joinadic semantics for the simply-effect-and-typed λ -calculus with if and par then, for all e, e', Γ, τ, F :

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$$\Gamma \vdash e \equiv e' : \tau, \digamma \quad \Rightarrow \quad \llbracket \Gamma \vdash e : \tau, \digamma \rrbracket = \llbracket \Gamma \vdash e' : \tau, \digamma \rrbracket$$

wrt. CBV β - \equiv with additional equations:

```
(IF\beta1') if true then e else x \equiv e

(IF\beta2') if false then x else e' \equiv e'

(IF-DIST-PAR) (if b then e else e') par e''

\equiv if b then (e par e'') else (e' par e'')

(IF-DIST-SEQ) let x = (if e then e' else e'') in e'''

\equiv if e then (let x = e' in e''') else (let x = e'' in e''')

(PAR-PURE) x par e \equiv (x, e)

(PAR-SYM) e par e' \equiv swap (e' par e)

(PAR-ASSOC) e par (e' par e'') \equiv assoc ((e par e') par e'')
```

```
\text{monads} \xrightarrow{\text{indexing}} \text{graded monads} \xrightarrow{\text{non-seq. control}} \text{graded joinads}
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unify Hanne and Flemming's rich effects with semantics

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- Semantics for various kinds of parallel, concurrent (e.g. music) and speculative behaviour (e.g. prefetching)
- Considerable simplification to proofs
- Represent effect-dependent optimisations more easily
- ► Effect-directed semantics provides *co-design* approach
 - Equations of analysis carry over to semantics, and vice versa
 - Exposes which structure is needed in each direction

Thanks Hanne and Flemming for the inspiration. Happy Birthday!

Thanks to Sam Aaron (Cambridge) for the Sonic Pi language used for the intro program

Backup slides

Model effectful computations via some data type T

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$$[\![\Gamma \vdash e : \tau]\!] : [\![\Gamma]\!] \to \mathsf{T}[\![\tau]\!]$$

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- *e.g.* for partiality $TA = \bot + A$

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Monads provide sequential composition

Given $f: A \to TB$ and $g: B \to TC$ then

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Monads provide sequential composition

Given $f: A \to TB$ and $g: B \to TC$ then

$$g \circ f : A \to TC$$

with $\hat{id}_A: A \to TA$.