



Provenance Tracking in CXXR

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Outline

- 1 Introduction
- 2 Provenance
- 3 CXXR
- 4 Provenance-Aware CXXR
- 5 Conclusion

Motivating Example

A simple exploration

R Session

```
> library(MASS)
# For 'mammals' dataset
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First few rows of 'mammals':

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	body	brain
Arctic fox	3.385	44.50
Owl monkey	0.480	15.50
Mountain beaver	1.350	8.10
Cow	465.000	423.00
Grey wolf	36.330	119.50
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> brain <- mammals[,2]
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First few rows of 'mammals':

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Arctic fox	3.385	44.50
Owl monkey	0.480	15.50
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> plot(body,brain)
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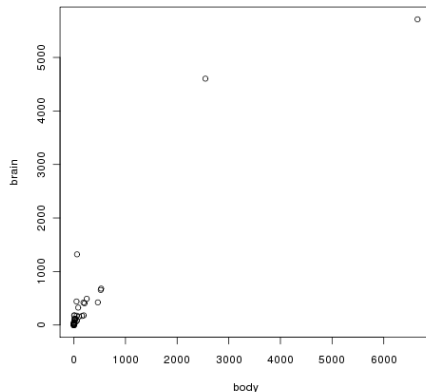
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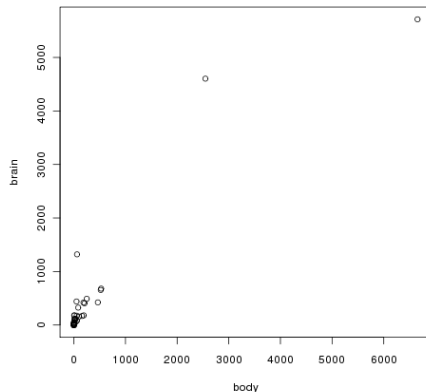


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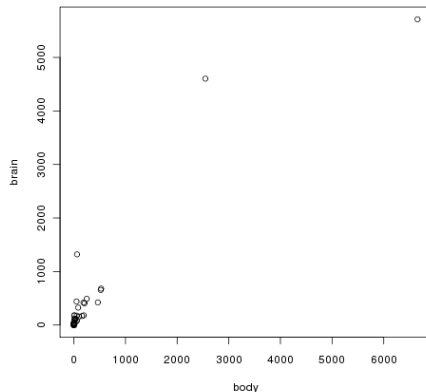


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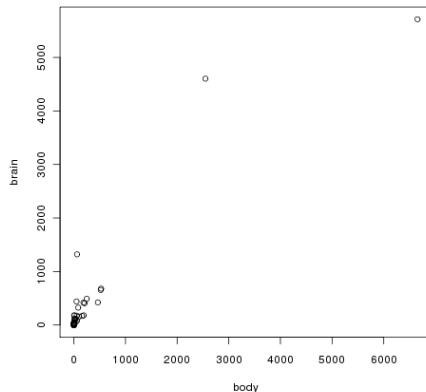


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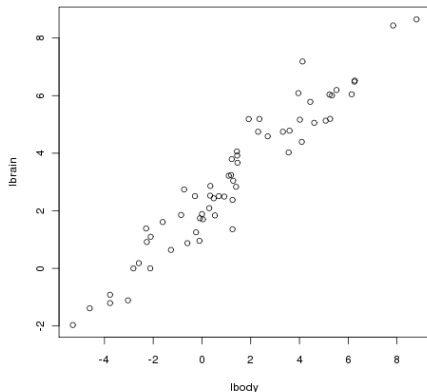


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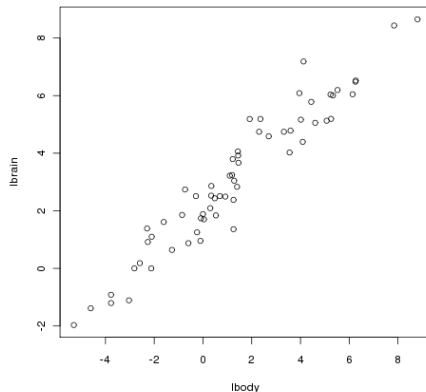


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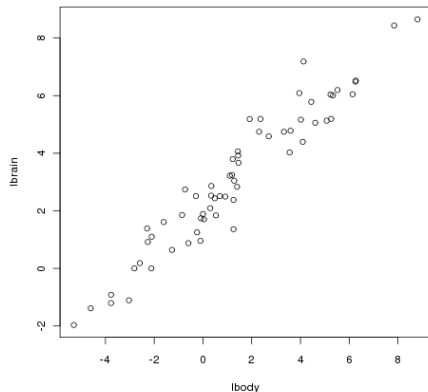


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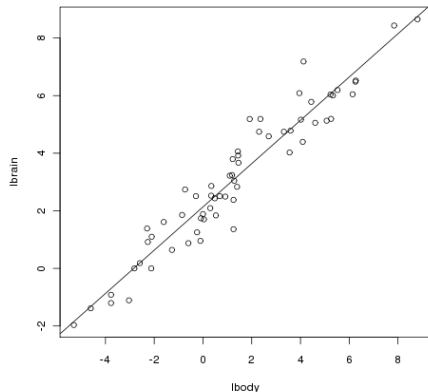


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What is Provenance?

From the Oxford English Dictionary:

provenance, *n*

- 1 The proceeds from a business. *Obs. rare.*
- 2 The fact of coming from some particular source or quarter; origin, derivation.
- 3 The history of the ownership of a work of art or an antique, used as a guide to authenticity or quality; a documented record of this.
- 4 *Forestry.* The geographic source of tree seed; the place of origin of a tree. Also: seed from a specific location.

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The beginning of Provenance-Aware Computing

When, in 1988 *New-S* succeeded *S*, it became one of – if not – the first provenance-aware software application(s) with its novel **S AUDIT** facility.

It is described by Becker and Chambers in their paper *Auditing of Data Analyses*¹.

An **audit file** was maintained by *New-S* which recorded each top-level command issued in this and previous sessions within the workspace, and identified those objects read from and written to.

The audit file was then processed by *S AUDIT*.

¹SIAM J. Sci. Stat. Comput. 9 [1988] pp. 747–60

S AUDIT

Example S AUDIT File

```
#~New session: Time: 542034997; Version: "S Tue Mar 3 10:14:20 EST 1987"
m<-matrix(read("brain.body"),byrow=T,ncol=2)
#~put "/usr/rab/.Data/m" 542035057 "structure"
brain<-m[,1]
#~get "/usr/rab/.Data/m" 542035057 "any"
#~put "/usr/rab/.Data/brain" 542035066 "real"
body<-m[,2]
#~get "/usr/rab/.Data/m" 542035057 "any"
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Provenance-Aware Computing Today

Recent Timeline

- **2006** IPAW'06 International Provenance and Annotation Workshop
- **2006** First Provenance Challenge
- **2006** Second Provenance Challenge
- **2007** Open Provenance Model (OPM) Draft
- **2008** IPAW'08 and OPM Workshop
- **2009** Third Provenance Challenge

The primary goal of the Third Provenance Challenge was to evaluate the Open Provenance Model.

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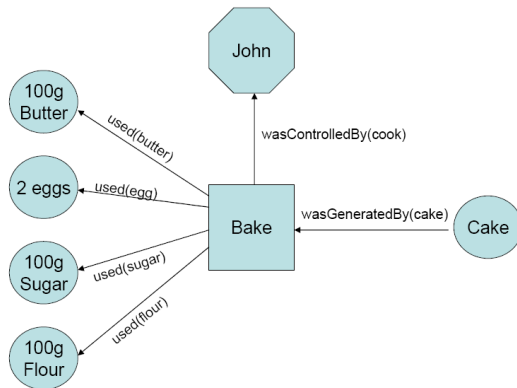
Open Provenance Model

The OPM has been designed to meet the following requirements:

- To allow provenance information to be exchanged between systems;
- To allow developers to build and share tools that operate on such a model;
- To be technology-agnostic;
- Support a digital representation of provenance for any "thing", produced by computer systems or not;
- Define rules that identify valid inferences on provenance graphs.

Open Provenance Model

Example: Victoria Sponge Cake Provenance



Entities

- **Artifacts:** Cake, 100g butter, 2 eggs, 100g sugar, 100g flour

- **Processes:** Bake

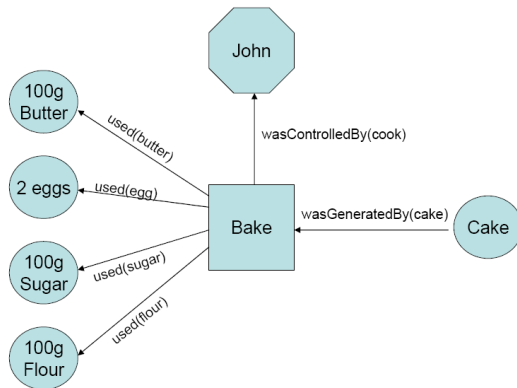
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Causal Relationships

- wasGeneratedBy
- wasControlledBy
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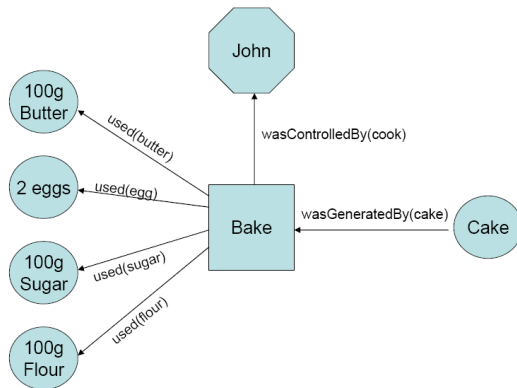
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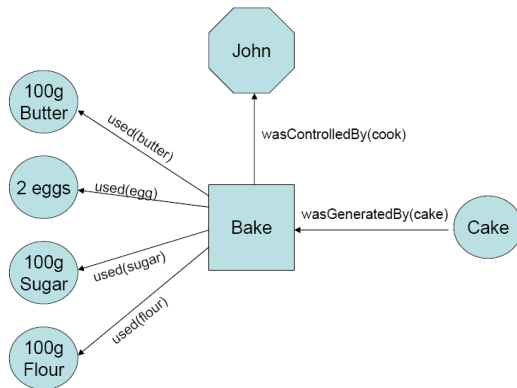
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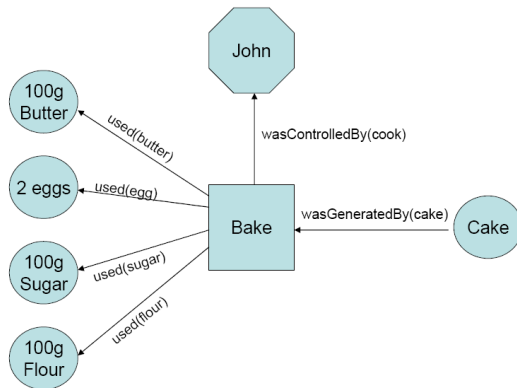
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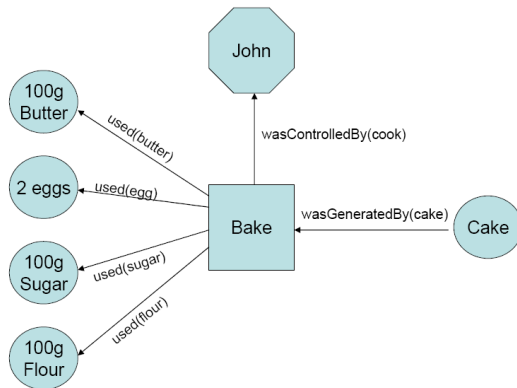
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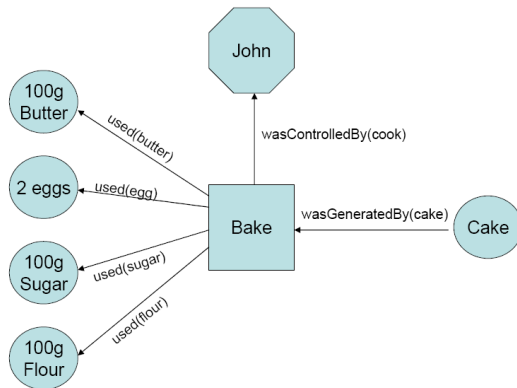
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The CXXR Project

Founded in 2007, CXXR² aims to progressively reengineer the R interpreter from C into C++, with the intention that:

- Full functionality of the standard R distribution is preserved;
- The behaviour of R code is unaffected (unless it probes into the interpreter internals);
- The primary interfaces between the interpreter and C and Fortran code are as far as possible unaffected.

CXXR is intended to make it easier to produce experimental versions of the R interpreter.

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Environments and Bindings

During the evaluation of:

```
x <- 5
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- *x* is a **symbol**
- *5* is a **vector** value
- A **binding** associates a **value** with a **symbol**
- This binding is stored in the **global environment**
- CXXR provides hooks on bindings, allowing callbacks on
 - **Read**, i.e. when an object is looked-up in the global environment
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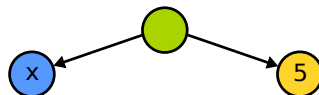


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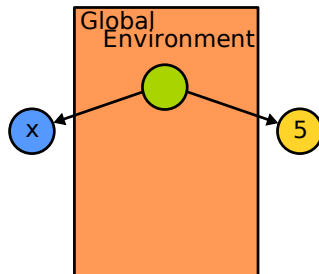


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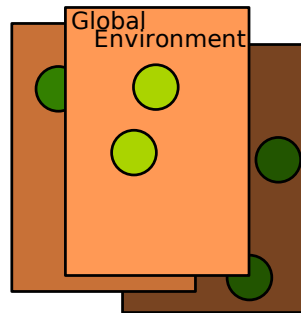


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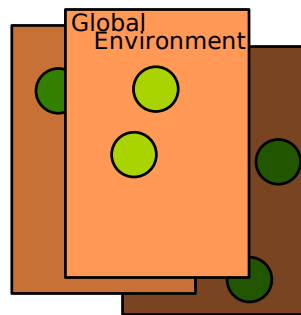


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- Informative to the user
- Enabling reproducibility
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Why record provenance?

- Auditing, and accountability
- Informative to the user
- Enabling reproducibility
- Understand how objects are used
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What provenance to record?

We want to identify, of a given object:

- Pedigree: The series of commands issued
- Parents: Objects which have been read during its creation
- Children: Objects which have read it during their creation

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Strategy

What we need to go about this:

- A **mechanism** for trapping reads and writes in the **user workspace** (i.e. the **global environment**)
 - Recall that CXXR provides monitor hooks on access and mutation of bindings
- **Containers** for storing provenance information
- **New R commands** for inspecting provenance
 - **provenance(x)**: Returns a list comprising: expression, symbol, timestamp, parents, children
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Associating Provenance with Bindings

- When an object is **read** from:
 - It is recorded in a **Parentage**
- When an object is **written** to:
 - A Provenance object is created, comprising:
 - This Provenance object is then associated with the relevant binding
 - Functions assigned in the global environment are also handled in this way
 - Therefore objects resulting from function calls have the function as a parent

Associating Provenance with Bindings

- When an object is **read** from:
 - It is recorded in a **Parentage**
- When an object is **written** to:
 - A Provenance object is created, comprising:
 - The object being evaluated
 - The object being written to
 - The object's parentage
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Associating Provenance with Bindings

- When an object is **read** from:
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- When an object is **written** to:
 - A Provenance object is created, comprising:
 - The top level expression being evaluated
 - The current timestamp
 - The symbol being written to
 - This objects' parentage
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Where were we?

Recall our session...

```
> ls()
```

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```
> ls()  
[1] "body"    "brain"   "lbody"   "lbrain"  "r"
```

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```
> ls()  
[1] "body"    "brain"   "lbody"   "lbrain"  "r"  
> provenance(body)
```

Where were we?

Recall our session...

```
> ls()  
[1] "body"    "brain"   "lbody"   "lbrain"  "r"  
> provenance(body)  
$command  
body <- mammals[, 1]  
  
$symbol  
body  
  
$timestamp  
[1] "07/03/2009 11:33:49 AM.763807"  
  
$parents  
NULL  
  
$children  
[1] "lbody"
```

Where were we?

Recall our session...

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> ls()  
[1] "body"    "brain"   "lbody"   "lbrain"  "r"  
> provenance(lbrain)
```

Where were we?

Recall our session...

```
> ls()  
[1] "body"    "brain"   "lbody"   "lbrain"  "r"  
> provenance(lbrain)  
$command  
lbrain <- log(brain)  
  
$symbol  
lbrain  
  
$timestamp  
[1] "07/03/2009 11:33:54 AM.221827"  
  
$parents  
[1] "brain"  
  
$children  
[1] "r"
```

Where were we?

Recall our session...

```
> ls()  
[1] "body"    "brain"   "lbody"   "lbrain"  "r"  
> provenance(r)
```

Where were we?

Recall our session...

```
> ls()  
[1] "body"    "brain"   "lbody"   "lbrain"  "r"  
> provenance(r)  
$command  
r <- lm(lbrain ~ lbody)  
  
$symbol  
r  
  
$timestamp  
[1] "07/03/2009 11:34:04 AM.117156"  
  
$parents  
[1] "lbrain" "lbody"  
  
$children  
NULL
```

Where were we?

Recall our session...

```
> ls()  
[1] "body"    "brain"   "lbody"   "lbrain"  "r"  
> pedigree(r)
```

Where were we?

Recall our session...

```
> ls()
[1] "body"    "brain"   "lbody"   "lbrain"  "r"
> pedigree(r)
brain <- mammals[, 2]
body <- mammals[, 1]
lbrain <- log(brain)
lbody <- log(body)
r <- lm(lbrain ~ lbody)
```

A Further Example

Function Provenance

```
> sq <- function(x) { x*x }
```

A Further Example

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```
> sq <- function(x) { x*x }  
> three <- 3
```

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```
> sq <- function(x) { x*x }  
> three <- 3  
> nine <- sq(three)
```

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Function Provenance

```
> sq <- function(x) { x*x }  
> three <- 3  
> nine <- sq(three)  
> provenance(nine)$parents
```

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Function Provenance

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> sq <- function(x) { x*x }  
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> nine <- sq(three)  
> provenance(nine)$parents  
[1] "sq"      "three"
```

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Function Provenance

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> sq <- function(x) { x*x }  
> three <- 3  
> nine <- sq(three)  
> provenance(nine)$parents  
[1] "sq"      "three"  
> provenance(sq)$children
```

A Further Example

Function Provenance

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> sq <- function(x) { x*x }  
> three <- 3  
> nine <- sq(three)  
> provenance(nine)$parents  
[1] "sq"      "three"  
> provenance(sq)$children  
[1] "nine"
```

Conclusion and Future Work

We have demonstrated that it is possible to introduce provenance tracking facilities to a statistical environment, and as a result we can identify an object's **pedigree**, **parents** and **children**.

We now need to look into the following

- Reproducing objects from provenance information
- Effectively handle pseudo-random number generation
 - To enable reproducibility of results
- Tracking provenance in other R environments
 - Packages
 - Attached data frames
 - Functions
- Serializing provenance information
 - To enable cross-session provenance-tracking

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